

FACTS		WHAT DOES HUB Investment Partners, LLC (“HUB”) DO WITH YOUR PERSONAL INFORMATION?
WHY?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.	
WHAT?	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> • Social security number and date of birth • Income and net worth • Transaction history or account transactions • Contact information • Investment experience and risk tolerance • Account number(s) and balances <p>When you are no longer our customer, we continue to share information about you as described in this notice.</p>	
HOW?	All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons HUB chooses to share; and whether you can limit this sharing.	
Reasons we can share your personal information		Does HUB Share?
For our everyday business purposes - such as to process your transactions, maintain your account(s) or respond to court orders and legal investigations		Yes
For our marketing purposes - to offer our products and services to you		Yes
For joint marketing with other financial companies		Yes
For our affiliates' everyday business purposes - information about your transactions and experiences		Yes
For nonaffiliates' everyday business purposes – to assist us in obtaining business or providing account maintenance or customer service to your account(s)		Yes
For our affiliates' everyday business purposes – information about your creditworthiness		No
For our affiliates to market to you		Yes
For nonaffiliates to market to you		No
Can you limit this sharing?		
For our everyday business purposes - such as to process your transactions, maintain your account(s) or respond to court orders and legal investigations		No
For our marketing purposes - to offer our products and services to you		No
For joint marketing with other financial companies		No
For our affiliates' everyday business purposes - information about your transactions and experiences		No
For nonaffiliates' everyday business purposes – to assist us in obtaining business or providing account maintenance or customer service to your account(s)		No
For our affiliates' everyday business purposes – information about your creditworthiness		We don't share
For our affiliates to market to you		Yes
For nonaffiliates to market to you		We don't share
To Limit our Sharing	<p>If you wish to limit our sharing, or provide affirmative consent to sharing, you may:</p> <ul style="list-style-type: none"> • Call Mariane Lee, Chief Compliance Officer, at 917-858-2854 • Mail your request to HUB Investment Partners, 900 S. Capital of Texas Highway, Suite 350, Austin, TX 78746 <p>Please note: If you are a new customer, we can begin sharing your information from the date we provided you with this notice. When you are no longer our customer, we continue to share your information as described in this notice; however, you can contact us at any time to limit our sharing</p>	
Questions?	Call Mariane Lee, Chief Compliance Officer, at 917-858-2854	

Who we are

Who is providing this notice?	HUB Investment Partners, LLC
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What we do

How does HUB protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does HUB collect my personal information?	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> • Open an account • Enter into an investment advisory contract • Give us your contact and other information • Seek financial advice • Tell us about your investment or retirement portfolio <p>We also collect your personal information from other companies.</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> • sharing for affiliates' everyday business purposes—information about your creditworthiness • affiliates from using your information to market to you • sharing for nonaffiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing.</p>

Definitions

Affiliates	<p>Below are categories of affiliates and nonaffiliated third parties to whom you disclose: Financial service providers; Non-financial companies; and Others.</p> <ul style="list-style-type: none"> • <i>HUB shares with its affiliates.</i>
Nonaffiliates	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> • <i>HUB does not share with nonaffiliates so they can market to you.</i>
Joint Marketing	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> • <i>HUB jointly markets with nonaffiliated financial companies.</i>

State Laws: Certain individual states also have enacted privacy laws and regulations to protect their citizens. Our privacy practices comply with all applicable laws. If a state's privacy laws are more restrictive than those stated in this notice, we will comply with those laws. Depending on the law of the state, you may have the right to know what information we have collected and/or shared, the right to instruct us to delete certain information, the right to correct inaccurate or incomplete information, the right to "opt-out" our ability to share information, and/or the right to limit our use or sharing of information. For more information about your rights under state law or to exercise your state law rights, please make a written or phone request per the contact information above. Some requests may require us 45 days to prepare. For more information about our corporate policy, including certain state laws, please see: <https://www.hubinternational.com/about-us/privacy-policy/>, and for California: <https://www.hubinternational.com/about-us/ccpa-privacy-notice-for-california-residents/>.